SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

	OMB Number: 3235-0287											
	Estimated average burden											
ŀ	hours per response: 0.5											

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Washburne Ray W			2. Issuer Name a r <u>Energy Tran</u>	nd Ticke <mark>sfer I</mark>	er or Ti L <mark>P</mark> [rading Symbo ET]	5. Relationship of (Check all applica X Director	n(s) to Issuer 10% Owner			
(Last) 47 HIGHLAND	3. Date of Earliest 08/19/2021	Transa	ction (Month/Day/Y	Officer (below)	Other (specify below)					
,	4. If Amendment,	Date of	Origin	al Filed (Mon		pint/Group Filing	(Check Applicable				
(Street) DALLAS					Form file	ed by One Repo ed by More than	orting Person One Reporting				
(City)	(State)	(Zip)							Person		
	Ta	able I - Non-Deriva	tive Securities	s Acq	uired	l, Dispose	ed of, o	or Benef	icially Owned		
1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/*			2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)		
Common Units									123,930	D	
Common Units							<u> </u>	<u> </u>	2,090	I	By: Spouse
Common Units									10,000	I	By: Gillon Irrevocable Trus Mary M Washburne UAE 08/19/99 ⁽¹⁾
Common Units									5,000	I	By: Gillon Irrevocable Trus Andrew V Washburne UAE 08/19/99 ⁽²⁾
Common Units									100,000	Ι	By: Washburne M Crowd Trust ⁽³
Common Units		08/19/2021		Р		100,000	A	\$8.899	300,000	I	By: Norlands LP ⁽⁴⁾
Common Units									8,336	Ι	By: Heather Hill Washburne 2006 Trust ⁽⁵⁾
Common Units									3,000	I	By: The Hill Grandchildren's Trust - Heather Victoria Hill ⁽⁶⁾
Common Units									57,600	I	By: MHTE- Albert G Hill Jr Income Beneficiary/Elis. Termination Beneficiary Trust ⁽⁷⁾
Common Units									6,536	I	By: Elisa Hill Summers 2006 Trust ⁽⁸⁾
Common Units									1,100	I	By: Emily Patricia Summers 2006 Trust ⁽⁹⁾
Common Units									3,000	I	By: Summers Family Trust- Camille Hill Summers ⁽¹⁰⁾

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned													
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
					Amount	(A) or (D) Price		Transaction(s) (Instr. 3 and 4)					
Common Units								7,600	Ι	By: Summers Family Trust- Emily Patricia Summers ⁽¹¹⁾			

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Nu of Deriv Secu Acqu (A) o Dispe of (D (Insti and S	vative rities lired r osed) r. 3, 4	Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v			Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Phantom Units	(12)							(12)	(12)	Common Units	2,500		2,500	D	

Explanation of Responses:

1. The reported units are owned directly by Gillon Irrevocable Trust Mary M Washburne UAD 08/19/99, a family trust of which Mr. Washburne is the trustee. Mr. Washburne disclaims beneficial ownership of the reported units except to the extent of his pecuniary interest therein.

2. The reported units are owned directly by Gillon Irrevocable Trust Andrew V Washburne UAD 08/19/99, a family trust of which Mr. Washburne is the trustee. Mr. Washburne disclaims beneficial ownership of the reported units except to the extent of his pecuniary interest therein.

3. The reported units are owned directly by Washburne M Crowd Trust, a family trust of which Mr. Washburne is the trustee. Mr. Washburne disclaims beneficial ownership of the reported units except to the extent of his pecuniary interest therein.

4. The reported units are owned directly by Norlands LP, a limited partnership indirectly owned and managed by Mr. Washburne. Mr. Washburne disclaims beneficial ownership of the reported units except to the extent of his pecuniary interest therein.

5. The reported units are owned directly by the Heather Hill Washburne 2006 Trust, a family trust of which Mr. Washburne's spouse is the beneficiary. Mr. Washburne disclaims beneficial ownership of the reported units except to the extent of his pecuniary interest therein.

6. The reported units are owned directly by The Hill Grandchildren's Trust - Heather Victoria Hill, a family trust of which Mr. Washburne's spouse is the beneficiary. Mr. Washburne disclaims beneficial ownership of the reported units except to the extent of his pecuniary interest therein.

7. The reported units are owned directly by MHTE-Albert G Hill Jr Income Beneficiary/Elisa Termination Beneficiary Trust, a family trust of which Mr. Washburne's spouse is the trustee. Mr. Washburne disclaims beneficial ownership of the reported units except to the extent of his pecuniary interest therein.

8. The reported units are owned directly by Elisa Hill Summers Trust, a family trust of which Mr. Washburne's spouse is the trustee. Mr. Washburne disclaims beneficial ownership of the reported units except to the extent of his pecuniary interest therein.

9. The reported units are owned directly by Emily Patricia Summers 2006 Trust, a family trust of which Mr. Washburne's spouse is the trustee. Mr. Washburne disclaims beneficial ownership of the reported units except to the extent of his pecuniary interest therein.

10. The reported units are owned directly by Summers Family Trust-Camille Hill Summers, a family trust of which Mr. Washburne's spouse is the trustee. Mr. Washburne disclaims beneficial ownership of the reported units except to the extent of his pecuniary interest therein.

11. The reported units are owned directly by Summers Family Trust-Emily Patricia Summers, a family trust of which Mr. Washburne's spouse is the trustee. Mr. Washburne disclaims beneficial ownership of the reported units except to the extent of his pecuniary interest therein.

12. An award of phantom units granted under the Amended and Restated Energy Transfer LP Long-Term Incentive Plan, generally contingent upon the reporting person's continued service on the Board of the general partner of the Partnership on each applicable vesting date.

Remarks:

Peggy J. Harrison, Attorney-in-08/23/2021

fact for Mr. Washburne

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.