SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

				Washi	ngton, [D.C. 2)549			Γ	OMB AP	PROVAL	
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	ST		DF CHANGE	OMB Number: Estimated averag hours per respons	Number: 3235-0287 Ited average burden								
		Filed	or S	uant to Section 16(a Section 30(h) of the	a) of the Investr	nent C	company Act of	e Act of f 1940	1934				
1. Name and Address of Reporting Pers WARREN KELCY L		ssuer Name and Ti <u>nergy Transfe</u>				(Che	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner						
(Last) (First) 8111 WESTCHESTER DRIVE		Date of Earliest Trar /09/2021	sactior	ı (Mon	th/Day/Year)	X	X Officer (give title Other (specify below) below) Executive Chairman						
(Street) DALLAS TX	75225		4. li	f Amendment, Date	of Orig	inal Fi	led (Month/Day	Line)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(City) (State)	(Zip)									Form filed b Person	by More than On	e Reporting	
Tal	ble I - N	on-Deriva	tive	Securities Ac	quire	d, Di	sposed of	, or Be	eneficial	ly Owned			
1. Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Ye		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities A Disposed Of (5)	Acquired D) (Instr.	(A) or 3, 4 and	5. Amount of Securities Beneficially Owned Follow Reported	6. Ownersh Form: Direc (D) or Indire (I) (Instr. 4)	t Indirect	
					Code V		Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(11501 4)	
Common Units										46,828,47	7 D		
Common Units										104,276,51	11 I	By: Kelcy Warren Partners, LP ⁽¹⁾	
Common Units		08/09/202	21		Р		1,089,828	A	\$9.17 ⁽²⁾	99,617,17	3 I	By: Kelcy Warren Partners III, LLC (formerly known as Seven Bridges Holdings, LLC) ⁽³⁾	
Common Units										10,224,42	9 I	By: Kelcy Warren Partners II, LP ⁽⁴⁾	
Common Units										328,383	I	By: ET Company, Ltd. ⁽⁵⁾	
Common Units										104,166	I	By: Spouse	
Common Unit										601,076	I	By: LE GP, LLC ⁽⁶⁾	

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The reported units are owned directly by Kelcy Warren Partners, LP, a limited partnership owned by Mr. Warren.

2. The price reported in Column 4 is a weighted average price. These common units were purchased in multiple transactions at prices ranging from \$9.04 to \$9.27, inclusive. The reporting person undertakes to provide to ET, any security holder of ET, or the Staff of the Securities and Exchange Commission, upon request, full information regarding the number of units purchased at each separate price within this range.

3. The reported units are owned directly by Kelcy Warren Partners III, LLC (formerly known as Seven Bridges Holdings LLC) a limited liability company owned by Mr. Warren.

4. The reported units are owned directly by Kelcy Warren Partners II, LP, a limited partnership owned by Mr. Warren.

5. The reported units are owned directly by ET Company Ltd. The reported units represent the estimated 50% pro rata interest of Mr. Warren in ET Company Ltd., including through his interest therein held through Three Dawaco, Inc. Mr. Warren disclaims beneficial ownership of the reported units except to the extent of his pecuniary interest therein.

6. The reported units are owned directly by LE GP, LLC. Mr. Warren disclaims beneficial ownership of the reported units except to the extent of his pecuniary interest therein.

Remarks:

Sonia Aube, Attorney-in-fact for Mr. Warren 08/11/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \star If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.